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Commissioner for Patents  
Washington, D.C. 20231  
on August 13, 2001

Date of Deposit

Jasper W. Dockrey

Name of applicant, assignee or  
Registered Representative

*Jasper W. Dockrey*  
Signature  
8/16/01

Date of Signature

RECEIVED

AUG 20 2001

OFFICE OF PETITIONS

Case No. 9281/3900

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Kiyoshi SATO et al

Serial No.: 09/802,314

Filed: March 8, 2001

For: THIN-FILM MAGNETIC  
HEAD APPROPRIATELY  
SUPPRESSING SIDE  
FRINGING AND  
METHOD FOR  
FABRICATING THE  
SAME

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated April 13, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:
  - ☐ a small entity, verified statement is:
    - ☐ attached
    - ☐ already filed
  - ☒ other than small entity
- ☒ Checks totaling \$1,360.00 for:
  - ☒ Filing Fee of \$710.00.
  - ☒ Surcharge of \$130.00.
  - ☐ Additional Claim Fees of \$\_\_\_\_\_.
  - ☒ Petition for Two-Month Extension of Time \$390.00.
  - ☒ Petition \$130.00.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Petition Under 37 C.F.R. §1.47(a) with Exhibits A-D.



The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: \_\_\_\_\_

8/11/01

  
Jasper W. Dockrey

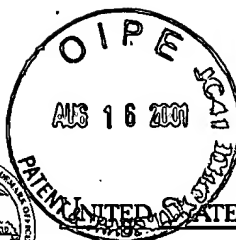
Registration No. 33,868

Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

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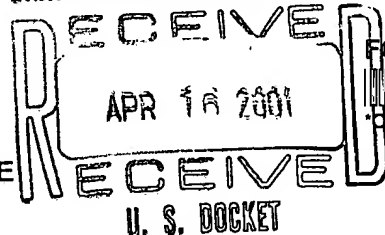
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/802,314	03/08/2001	Kiyoshi Sato	9281/3900

JASPER W. DOCKREY  
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BRINKS HOFER GILSON &amp; LIONE



CONFIRMATION NO. 5260

FORMALITIES LETTER



Date Mailed: 04/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- o The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 840.**

08/17/2001 CNGUYEN 00000089 09802314

03 FC:101  
04 FC:105

710.00 OP  
130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

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AUG 20 2001

OFFICE OF PETITIONS

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Section 11

TRANSMITTAL LETTER			Case No. 9281/3900
Serial No. 09/802,314	Filing Date March 8, 2001	Examiner Unassigned	Group Art Unit 2652
Inventor(s) Kiyoshi SATO et al			
Title of Invention THIN-FILMED MAGNETIC HEAD APPROPRIATELY SUPPRESSING SIDE FRINGING AND METHOD FOR FABRICATING THE SAME			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a Transmittal (in dup.), Response to Notice to File Missing Parts of Application (in dup.), Copy of Notice to File Missing Parts, Petition for Extension of Time-2 month (in dup.), Declaration and Power of Attorney w/Attachment A (6 pages), Petition Under 37 C.F.R. § 1.47(a) (in dupl.) with Exhibits A-D, Assignment (3 pages), Assignment Recordation, Checks in the amount of \$840, \$390, \$130, and 40 for fees, and return postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☒ Petition for a two month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity

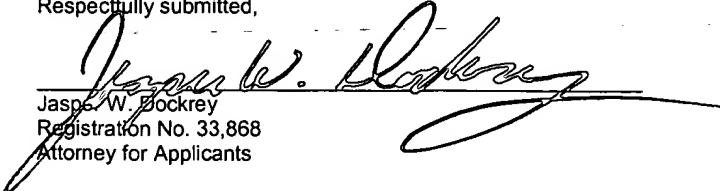
Rate	Add'l Fee
x \$9=	
x 40=	
+\$135=	
Total add'l fee	\$

Other Than  
Small Entity

Rate	Add'l Fee
x \$18=	
x \$80=	
+\$270=	
Total add'l fee	\$

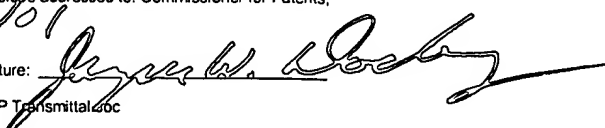
- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ Checks in the amount of \$840, \$390, \$130, and \$40 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
Jasper W. Dockrey  
Registration No. 33,868  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

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Date: 8/11/01 Signature:   
rev. Dec.-00  
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